AP7 US Gaiter Land Tradement Orize; US DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER NL030838

U.S. APPLICATOR NO (Francisco Sep 37 SF 3 1.5)

CONCERNING A SUBMISSION UNDER 33 C.S.S. 371									
INTERNAT PCT/IB2	FIONAL APPLICATION NO. 004/051152	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
TITLE OF INVENTION ELECTROPHORETIC DISPLAY UNIT									
APPLICANT(S) FOR DO/EO/US Guofu ZHOU; Johannes Petrus VAN DE KAMER; Neculai AILENEI; Mark Thomas JOHNSON									
Applicant	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. 🔲 1	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3. 🔲 1	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	The US has been elected (Article 31).								
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b.								
	c. is not required, as the applic	ication was filed in the United States Receiv	ring Office (RO/US).						
6.	An English language translation of the	ne International Application as filed (35 U.S.	C. 371(c)(2)).						
	a. is attached hereto.								
	b. has been previously submit	itted under 35 U.S.C. 154(d)(4).							
7.	Amendments to the claims of the Inte	ernational Application under PCT Article 19	(35 U.S.C. 371(c)(3))						
	a. are attached hereto (requi	ired only if not communicated by the Interna	ational Bureau).						
	b. have been communicated	d by the International Bureau.							
	c. have not been made; how	vever, the time limit for making such amend	ments has NOT expired.						
	. d.	will not be made.							
8. 🔲	An English language translation of the	he amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).						
9. 🗸	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary I	Examination Report under PCT						
Items	s 11 to 20 below concern document(s	s) or information included:							
11. 🗸	An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.							
12. 🚺	An assignment document for recording	ing. A separate cover sheet in compliance w	vith 37 CFR 3.28 and 3.31 is included.						
13.	A preliminary amendment.								
14.	An Application Data Sheet under 37	CFR 1.76.							
15.	A substitute specification.								
16. 🗹	A power of attorney and/or change o	of address letter.							
17.	A computer-readable form of the seq	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published Inter	rnational Application under 35 U.S.C. 154(d	1)(4).						
19. 🗆	A second copy of the English langua	age translation of the international application	on under 35 U.S.C. 154(d)(4).						
20. 🗸	Other items or information: Express N	Mail Certificate; PTO/SB/80; PTO/SB/96;	Charge Authorization; Receipt Confirmation Postcard						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.44. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JAP15 Rec'd PCT/PTO 12 JAN 2006

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICAT		NL030838					
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. A Basic national fee\$300				\$ 300.00			
22.  Exam If International pr	ination fee	\$ 200.00					
23. Search fee (37 Cl	ch fee FR 1.445(a)(2)) ha nal Searching Aut	\$ 400.00					
	TOTAL OF 21, 22	\$ 900.00	ļ				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE	:		
- 100 =	/50 =	<u> </u>		× \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$		
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	11	- 20 =	0	× \$50	\$ 0.00		
Independent clair	ms 4	- 3 =	1	× \$200	\$ 200.00		
MULTIPLE DEPE	ENDENT CLAIM(S	6) (if applicable)		+ \$360	\$		
				CALCULATIONS =	\$ 200.00		
Applicant cla	ims small entity s	tatus. See 37 CFF	1.27. Fees above are reduce	ed by 1/2.			
SUBTOTAL = \$ 1,100.00							
	f <b>\$130.00</b> for furni late (37 CFR 1.49	\$					
		NATIONAL FEE =	\$ 1,100.00				
Fee for recording	the enclosed ass e cover sheet (37	\$ 40.00					
			TOTAL F	EES ENCLOSED =	\$ 1,140.00	_	
		Amount to be refunded:	\$				
					Amount to be charged:	\$ 1,140.00	
a. A chec	k in the amount o	ıf \$	to cover the ab	ove fees is enclosed.			
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,140.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where a	n appropriate tin	ne limit under 37 national Applicat	CFR 1.495 has not been mo	et, a petition to revivo	e (37 CFR 1.137(a) or (b)	)) must be filed	
SEND ALL COR	and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:						
Co	orporate Pate	nt Counsel		SIGNATURE			
Phi	Philips Electronics North America Corporation  Daniel J. Piotrowski  NAME						
	P.O. Box 3001 42,079						
В	Briarcliff Manor, NY 10510 REGISTRATION NUMBER						

## JAP15 Rec'd PCT/PTO 12 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

GUOFU ZHOU ET AL.

NL030838

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

Title: ELECTROPHORETIC DISPLAY UNIT

Commissioner for Patents Alexandria, VA 22313-1450

<u> </u>	CERTIFICATE OF EXPRESS MAILING
Express Mail Label No. <u>EV7463</u>	348374US
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"Express Mail Post Office to Ad	and/or fee is being deposited with the United States Postal Service Idressee" service under 37 C.F.R. 1.10 on the date indicated above issioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450
Natale A. Manzo	natal amage